

CITY OF BRISBANE  
CASH BALANCES & INVESTMENTS  
SOURCE OF FUNDING  
September 30, 2014

NAME OF DEPOSITORY	INVESTMENT TYPE	DATE OF INVESTMENT	FACE VALUE OF INVESTMENT	CARRY VALUE OF INVESTMENT	MARKET VALUE OF INVESTMENT	COUPON INTEREST RATE %	MATURITY DATE	RATING/ COLLATERAL
BANK OF AMERICA	Checking A/C		\$ 3,825,667	\$ 3,825,667	\$ 3,825,667	0.000		100% collateral
BANK OF AMERICA	Savings		\$ 11,665	\$ 11,665	\$ 11,665	0.030		
STATE FUND (LAIF)	Deposit on call	continuous	\$ 4,046,059	\$ 4,046,059	\$ 4,046,059	0.220	on call	no rating
<b>Other Investments</b>								
FFCB		4/17/2013	\$ 500,000	\$ 500,000	\$ 494,585	0.690	4/17/2017	
FFCB		5/1/2013	\$ 500,000	\$ 500,000	\$ 498,630	0.690	5/1/2017	
FFCB		5/30/2013	\$ 500,000	\$ 500,000	\$ 491,540	0.750	5/30/2017	
FFCB		12/5/2012	\$ 1,000,000	\$ 999,400	\$ 993,050	0.770	6/5/2017	
FHLB		6/13/2013	\$ 500,000	\$ 500,000	\$ 496,563	0.750	6/13/2017	
FFCB		11/16/2012	\$ 500,000	\$ 500,000	\$ 497,605	0.940	11/13/2017	
FFCB		11/27/2012	\$ 1,000,000	\$ 1,000,000	\$ 984,350	0.870	11/27/2017	
FHLB		2/20/2013	\$ 500,000	\$ 500,000	\$ 493,570	1.100	2/20/2018	
FNMA		2/27/2013	\$ 500,000	\$ 500,000	\$ 493,770	1.050	2/27/2018	
GE Capital Corporation		6/7/2013	\$ 500,000	\$ 498,750	\$ 499,105	1.625	4/2/2018	
FHLB		4/23/2018	\$ 500,000	\$ 500,000	\$ 492,750	1.000	4/23/2018	
FHLB		3/27/2014	\$ 500,000	\$ 500,000	\$ 499,600	1.500	6/27/2018	
FHLB		7/10/2014	\$ 500,000	\$ 500,000	\$ 498,020	1.530	7/10/2018	
FHLM		12/18/2013	\$ 500,000	\$ 500,000	\$ 501,135	1.750	12/18/2018	
FHLM		1/30/2014	\$ 1,000,000	\$ 1,000,000	\$ 1,003,200	2.000	1/30/2019	
FHLB		6/19/2014	\$ 500,000	\$ 500,000	\$ 497,845	1.000	6/19/2019	
FFCB		6/18/2014	\$ 500,000	\$ 500,000	\$ 499,825	1.970	6/24/2019	
FNMA		8/20/2014	\$ 500,000	\$ 499,750	\$ 497,015	2.000	8/20/2019	
FNMA		8/28/2014	\$ 500,000	\$ 500,000	\$ 500,180	2.050	8/28/2019	
FFCB		9/23/2014	\$ 500,000	\$ 500,000	\$ 499,805	2.030	9/23/2019	
BONY	Treasury Obligations	continuous	\$ 1,834,778	\$ 1,834,778	\$ 1,834,778	0.000	on call	110% collateral
Sub-total:			\$ 13,334,778	\$ 13,332,678	\$ 13,272,920			
U. S. Trust	2012 Utility (320)	Refinancing	Fed Treas Obl	\$ -	10030			
(Cash held by fiscal agents)	Revenue Fund		Fed Treas Obl	\$ -	10034			
	Expense Fund		Fed Treas Obl	\$ -	10035			
	Interest Fund		Fed Treas Obl	\$ -	10037			
	Pension Bonds (340)	Expense Fund	Fed Treas Obl	\$ 1	10035			
	BPFA 2001Series B (375)	Purchase Fund	Fed Treas Obl	\$ 5,145	10028			
		Escrow	Fed Treas Obl	\$ -	10030			
		Reserve	Fed Treas Obl	\$ 875,531	10032			
		Surplus Fund	Fed Treas Obl	\$ -	10033			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
		Interest	Fed Treas Obl	\$ -	10037			
	BPFA 2009A City Hall (367)	Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 195,859	10032			
		Revenue Fund	Fed Treas Obl	\$ 88,628	10034			
		Expense Fund	Fed Treas Obl	\$ 1	10035			
		Interest	Fed Treas Obl	\$ -	10037			
	BPFA 2005B City Hall(366)	Improvements	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest	Fed Treas Obl	\$ 118,143	10037			
	2005 Refunding (365)	Improvements	Fed Treas Obl	\$ -	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ 7,454	10036			
	NER Refinance (796)		Fed Treas Obl	\$ -	10030			
		Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 250,017	10032			
		Redemption	Fed Treas Obl	\$ 3,975	10035			
Sub-total:	Cash with Fiscal Agents			\$ 1,544,754				
	Total other investments		\$ 13,334,778	\$ 14,877,431	\$ 13,272,920			
<b>TOTAL INVESTMENTS &amp; CASH BALANCES</b>			\$ 21,218,169	\$ 22,760,823	\$ 21,156,312			

Outstanding Loans to Department Heads

	Date of loan	Amount	Amount Remaining	Interest Rate
Stuart Schillinger	4/1/2002	318,750	\$ 318,750	Based on Sales Price
Clay Holstine (1)	7/8/2008	300,000	\$ 254,102	2.25%
Clay Holstine (2)	9/10/2008	200,000	\$ 200,000	Secured by other funds
Randy Breault	10/22/2001	320,000	\$ 201,919	Variable (1.24%)


FFCB - Federal Farm Credit Bank  
FHLB - Federal Home Loan Bank  
FHLM - Federal Home Loan Mortgage Corporation  
FNMA - Federal National Mortgage Association

Two year Treasury 0.57%  
Weighted Interest 0.74%  
Weighted maturity 2.22 Years

TREASURER'S CERTIFICATE

These are all the securities in which the city funds including all trust funds and oversight agencies funds are invested and that (excluding approved deferred compensation plans) and that all these investments are in securities as permitted by adopted city policy.

It is also certified that enough liquid resources (including maturities and anticipated revenues) are available to meet the next six months' cash flow.

  
CITY TREASURER

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FUND NO.	FUND TITLE	CASH/INVESTMENT BALANCE
100	General Fund	3,351,529
200	Gas Tax Fund	281,224
201	Traffic Congestion Relief Fund	-
203	Proposition 1B	-
205	Measure A Funds	351,460
210	Sierra Pt. Lighting & Landscape Fund	(178,472)
220	NPDES	303,089
250	OTS Grant	22,524
251	L.L.E.B.G.	27,439
254	SLESF Grant	(20,152)
258	Recycling Grant	3,667
259	PEG Equipment Grant	43,457
268	Contract Employees	80,209
270	Fire Training	3,160
320a	2012 Utility Bond	-
320	2012 Utility Bond w/Fiscal Agent	-
340	Pension Obligation Bonds	-
340a	Pension Obligation Bonds w/ Fiscal Agent	1
341	Pension Obligation Bonds 2013	-
341a	Pension Obligation Bonds w/ Fiscal Agent 2013	-
365a	Refunding 2005 w/Fiscal Agent	7,454
365	Refunding 2005	-
366	BPFA 2005 B City Hall	-
366a	BPFA 2005 B City Hall w/Fiscal Agent	118,143
367	BPFA 2009 A City Hall	-
367a	BPFA 2009 A City Hall w/Fiscal Agent	284,489
370 a	BPFA 2001 A	-
370	BPFA 2001 A w/Fiscal Agent	-
375a	BFPA 2001Series B w/Fiscal Agent	880,675
375	BFPA 2001Series B	8,915
400	Capital Project Labor	509,802
440	Special Beautification Proj Fund	185
450	Facilities Fees	3,394,315
540	Utility Fund	3,556,084
545	Utility Capital Fund	(201,737)
550	Marina Fund	212,216
555	Marina Capital Reserve Fund	1,123,155
600	Fringe Benefits Fund	12,426
610	Flexible Benefits Trust	42,745
620	Dental Insurance Fund	68,964
630	General Liability Insurance Fund	844,862
640	Workers Compensation Insurance Fund	322,269
650	OPEB Fund	161,823
690	Rainy Day Fund	3,793,840
700	Event Insurance	120
705	Professional Organizations	(631)
715	NER/Landmark Trust	(57,485)
722	Brisbane Recycling	6,436
725	Recreation Facilities	7,160
730	Open Space	101
750	Sister City Fund	838
751	Piano Fund	203
760	OPUS Permits/Fees	6,061
765	OPUS Development Trust	39,509
768	HCP Endowment Trust	1,459,966
770	Revolving - I N.E.R	693
775	Revolving II S.P. & TUNTEX	205,706
777	Margaret/Paul	15,251
780	Baylands Revolving	26,901
781	Baylands EIR	(422,608)
782	Geneva/Candlestick	(3,291)
783	Recology	407,954
785	Quarry Reimbursement	(148,012)
786	Slough Estates	5,789
790	Hotel Reimbursement	(24,153)
796a	NER Refinance	72,377
796	NER Refinance w/Fiscal Agent	253,992
883	Housing Authority	868,081
	Outstanding checks/deposits/adjustments	630,106
	TOTAL CASH RESOURCES	22,760,823